



Expertise that's trusted

COMMON APPLICATION FORM FOR DEBT SCHEMES

For sale of Units of Tata Mutual Fund Scheme(s) to Resident/ Non-Resident Indians.
Please refer complete details on all page(s) and scheme description/details while applying.

Sr. No. :

ISSUE OF UNITS OF TATA MUTUAL FUND SCHEMES AT NAV BASED RESALE PRICE WITH APPLICABLE LOAD IF ANY FOR ONGOING SALE

Refer complete scheme details/instructions while filling in application form in English BLOCK LETTERS. Tick (✓) whichever is applicable. Strike out which is not required

BROKER / AGENT CODE	SUB-BROKER / BANK BRANCH CODE	M. O. CODE

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Existing Folio No. : _____ SIP Form attached.

MANDATORY [Please tick (✓)] (Refer Instruction - E & F)

APPLICANT DETAILS	PAN * please attach proof	Know Your Client (KYC)	STATUS
FIRST APPLICANT	<input style="width: 100%;" type="text"/> <input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied	<input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI/NRO <input type="checkbox"/> NRI/NRE <input type="checkbox"/> Trust <input type="checkbox"/> Proprietorship <input type="checkbox"/> Others _____
SECOND APPLICANT	<input style="width: 100%;" type="text"/> <input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied	
THIRD APPLICANT	<input style="width: 100%;" type="text"/> <input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied	
GUARDIAN/POA HOLDER	<input style="width: 100%;" type="text"/> <input type="checkbox"/> Proof	<input type="checkbox"/> KYC Complied	

UNITHOLDER INFORMATION

Name of First Applicant	Mr	Ms	M/s																				
	Please mention your name as it appears in your Bank Account															Date of Birth							
																D	D	M	M	Y	Y	Y	Y
Name of Guardian <small>(in case first Applicant is Minor) contact person-designation (non-individual)/PoA Holder (investments made of constitutional attorney).</small>	Mr	Ms	M/s																				
Mailing Address (PO Box Address is not sufficient) (Indian Address in case of NRIs/FIIs)																Pin Code							
	City					State					Country												

Email (mandatory for Daily STP): _____

I/ We wish to receive A/c Statement / Annual Report / Quarterly Statement via Email instead of the physical copy Yes No

Contact Particulars	Telephone : Office											Fax											
	Residence											Mobile											
Overseas Address <small>(Mandatory for NRI/FII applications in addition to)</small>																Pin Code							
	City										Country												
Occupation	<input type="checkbox"/> Agriculture <input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Other _____																						
Mode of Holding	<input type="checkbox"/> Single <input type="checkbox"/> Joint <input type="checkbox"/> Anyone or Survivor Default Option: Joint																						
Name of Second Applicant																Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI				Date of Birth			
																D	D	M	M	Y	Y	Y	Y
Name of Third Applicant																Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI				Date of Birth			
																D	D	M	M	Y	Y	Y	Y

Name of Power of Attorney holder for investment on behalf of Applicant.

Name	Mr.	Ms.	M/s.															
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NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) - please refer instruction K.

Name and Address of the Nominee(s)	Nominee's Relationship with 1st unitholder	Proportion (%) by which the units shared by will be shared by each nominee (% to aggregate to 100%)	Date of Birth	Name & Address of Guardian	Signature of Guardian
(to be furnished in case the nominee is minor)					
Nominee 1					
Nominee 2					
Nominee 3					

PLEASE CHOOSE THE SCHEME/S AND OPTION FOR INVESTMENT

<input type="checkbox"/> TATA LIQUID FUND (LF) <input type="checkbox"/> RIP <input type="radio"/> Growth <input type="radio"/> Fortnightly Dividend <input type="radio"/> Daily Dividend <input type="checkbox"/> HIP <input type="radio"/> Growth <input type="radio"/> Monthly Dividend <input type="radio"/> Weekly Dividend <input type="radio"/> Daily Dividend <input type="checkbox"/> SHIP <input type="radio"/> Growth <input type="radio"/> Monthly Dividend <input type="radio"/> Weekly Dividend <input type="radio"/> Daily Dividend <input type="checkbox"/> SHIP PLUS <input type="radio"/> Growth <input type="radio"/> Monthly Dividend <input type="radio"/> Weekly Dividend <input type="radio"/> Daily Dividend Under each Plan in case of Dividend Option (Please tick) <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment There will be compulsory reinvestment of dividend under Daily Dividend Option	Default Option: Growth / Dividend Reinvestment (please refer Instruction J)
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RIP - Regular Investment Plan **HIP** - High Investment Plan **SHIP** - Super High Investment Plan **SHIP Plus** - Super High Institutional Plus Plan

<input type="checkbox"/> TATA SHORT TERM BOND FUND (ST) <input type="checkbox"/> Dividend <input type="checkbox"/> Growth <input type="radio"/> Payout <input type="radio"/> Reinvestment	Default Option: Growth / Reinvestment (please refer Instruction J)
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<input type="checkbox"/> TATA INCOME PLUS FUND (IP) <input type="checkbox"/> Option A <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment <input type="checkbox"/> Option B <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment <input type="checkbox"/> Option C <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment	Default Option: Option A / Growth / Dividend Reinvestment (please refer Instruction J)
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<input type="checkbox"/> TATA GILT SECURITIES FUND (GF) <input type="checkbox"/> Normal <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment <input type="checkbox"/> High Investment Plan <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment	Default Option: Normal / Growth / Dividend Reinvestment (please refer Instruction J)
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<input type="checkbox"/> Tata Gilt Short Maturity Plan <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment	Default Option: Normal / Growth / Dividend Reinvestment (please refer Instruction J)
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<input type="checkbox"/> TATA INCOME FUND (IF) <input type="checkbox"/> Quarterly Dividend <input type="checkbox"/> Half Yearly Dividend <input type="checkbox"/> Periodic Dividend <input type="checkbox"/> Growth <input type="checkbox"/> Bonus <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment	Default Option: Growth / Quarterly / Reinvestment (please refer Instruction J)
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<input type="checkbox"/> TATA FLOATING RATE FUND <input type="checkbox"/> Short Term <input type="checkbox"/> Income / Bonus <input type="checkbox"/> Growth <input type="checkbox"/> Long Term <input type="checkbox"/> Income / Bonus <input type="checkbox"/> Growth <input type="checkbox"/> Short Term Institutional Plan <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="checkbox"/> Daily Dividend <input type="checkbox"/> Growth (Compulsory Reinvestment)	Default Option: Short Term / Growth / Reinvestment (please refer Instruction J)
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<input type="checkbox"/> TATA FLOATER FUND (TFF) <input type="checkbox"/> Growth <input type="checkbox"/> Dividend — <input type="checkbox"/> Daily - Dividend Reinvestment <input type="checkbox"/> Dividend — <input type="checkbox"/> Weekly <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment Default Option : Growth Default Option : Weekly Default Option : Reinvestment There will be compulsory reinvestment of dividend under Daily Dividend Option	Default Option: Growth / Reinvestment (please refer Instruction J)
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<input type="checkbox"/> TATA LIQUIDITY MANAGEMENT FUND (TLMF) <input type="checkbox"/> Growth <input type="checkbox"/> Dividend — <input type="checkbox"/> Daily - Dividend Reinvestment <input type="checkbox"/> Dividend — <input type="checkbox"/> Weekly Dividend Reinvestment Default Option : Growth Default Option : Weekly Dividend will be compulsorily reinvested	Dividend will be compulsorily reinvested
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<input type="checkbox"/> TATA TREASURY MANAGER FUND (TTFM) <input type="checkbox"/> RIP <input type="radio"/> Growth <input type="radio"/> Monthly Dividend <input type="radio"/> Weekly Dividend <input type="radio"/> Monthly Dividend <input type="checkbox"/> HIP <input type="radio"/> Growth <input type="radio"/> Daily Dividend <input type="radio"/> Weekly Dividend <input type="radio"/> Monthly Dividend <input type="checkbox"/> SHIP <input type="radio"/> Growth <input type="radio"/> Daily Dividend <input type="radio"/> Weekly Dividend <input type="radio"/> Monthly Dividend Under each Plan in case of Dividend Option (Please tick) <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment There will be compulsory reinvestment of dividend under Daily Dividend Option	Default Option: RIP / Growth / Dividend Reinvestment (please refer Instruction J)
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<input type="checkbox"/> TATA GILT MID TERM FUND (TGMTF) <input type="checkbox"/> Growth <input type="checkbox"/> Dividend — <input type="checkbox"/> Periodic Dividend <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment <input type="checkbox"/> Dividend — <input type="checkbox"/> Quarterly Dividend <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment	Default Option: Growth / Periodic dividend/ Dividend Reinvestment (please refer Instruction J)
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Please enclose separate Cheques/Demand Draft for each scheme.

Scheme Name _____	
Gross Amount in Rs. <input style="width:100px;" type="text"/>	DD Charges Rs. (if any)* <input style="width:100px;" type="text"/>
Net Amount in Rs. <input style="width:100px;" type="text"/>	Bank A/c. No. : _____
Amount of Investment (Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ___/___/____	

Scheme Name _____	
Gross Amount in Rs. <input style="width:100px;" type="text"/>	DD Charges Rs. (if any)* <input style="width:100px;" type="text"/>
Net Amount in Rs. <input style="width:100px;" type="text"/>	Bank A/c. No. : _____
Amount of Investment (Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ___/___/____	

Scheme Name _____	
Gross Amount in Rs. <input style="width:100px;" type="text"/>	DD Charges Rs. (if any)* <input style="width:100px;" type="text"/>
Net Amount in Rs. <input style="width:100px;" type="text"/>	Bank A/c. No. : _____
Amount of Investment (Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ___/___/____	

OVERSEAS ADDRESS	
(Mandatory for NRI/FII applications in addition to mailing address) (PO Box Address is not sufficient)	Overseas Address in case of NRI/FIIs
	City _____ State _____ Pin _____
	Country _____ Tel. (Office) _____
	Tel. (Res.) _____ Fax _____

FIRST HOLDERS BANK ACCOUNT DETAILS (Mandatory) (Refer Instruction - G)	
All communication/payments will be made to first applicant or to Karta in case of HUF. Bank account details of First Unitholder required without which the application would be rejected	
Name of the Bank	
Branch	Account Type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRNR <input type="checkbox"/> NRE
Account No. (in Fig.)	
Bank Address	
City	State
PIN	MICR Code
* IFSC Code for NEFT/RTGS	
* This is a 11 Digit Number, kindly obtain it from your Bank Branch. (Cancelled cheque is Mandatory)	

DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND / REFUND PAYOUTS (Refer Instruction - H)	
Tata Mutual Fund directly credits the Redemption/Dividend/Refund payout into the investor's Bank Account in case the account is with ICICI Bank Ltd./HDFC Bank Ltd./Axis Bank/IDBI Bank/Standard Chartered Bank/Kotak Mahindra Bank/HSBC Bank/Deutsche Bank/Royal Bank of Scotland/Oriental Bank of Commerce/State Bank of India (Core banking centers only).	
I/We understand that the instruction to the bank for Direct Credit/NEFT/ECS will be given by the Mutual Fund & such instruction will be adequate discharge of Mutual Fund towards redemption/dividend/refund proceeds. In case of bank not crediting my/our bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund responsible. I/We understand that in case account number furnished by me/us, if found incorrect, I/We would not hold Tata Mutual Fund responsible for the credit going to the wrong account. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/NEFT/ECS.	
If however you wish to receive Cheque payment, please tick here <input type="checkbox"/>	

DOCUMENTS TO BE SUBMITTED (Please tick (✓) whichever is applicable)	
1) <input type="checkbox"/> Memorandum & Articles of Association (Corporate)	2) <input type="checkbox"/> Board Resolution (Corporate)
3) <input type="checkbox"/> Authorised signatories list.	4) <input type="checkbox"/> Trust Deed (in case of a trust)
5) <input type="checkbox"/> Partnership Deed (for partnership firm)	6) <input type="checkbox"/> Copy of PAN card of all unitholders.
7) <input type="checkbox"/> KYC Confirmation.	8) <input type="checkbox"/> Power of Attorney.

DECLARATION AND SIGNATURES Refer Instruction - C	
The Trustee, Tata Mutual Fund	
a) Having read & understood the contents of the Scheme Information Document of the Scheme, I/ We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme. I/ We hereby declare that the amount invested in the scheme is through legitimate sources only & does not involve & is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Govt of India from time to time. I/ We have understood the details of the scheme & I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption & undertaking such other action with such funds that may be required by the Law. b) For NRIs: I/ We confirm that I am / we are Non Residents of Indian Nationality / Origin & that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary. c) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. d) I/ We confirm that details provided by me / us are true and correct. e) I/We have read & understood the SEBI Circular No. MRD/DoP/Cir-05/2007 dt. April 27, 2007 & SEBI Circular No. 35/MEM-COR/18/07-08 dt. June 26, 2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card. f) For Micro SIP: I/We hereby declare that I/We do not have any existing Micro SIP's which together with the current application will result in aggregate investments exceeding Rs.50,000/- in a year.	
Date: _____	
Signature(s) / Thumb Impression(s) Refer Instruction - 'C'	1st / Sole holder
	2nd holder
	3rd holder

Tata Mutual Fund "Acknowledgment Slip" (To be filled in by Investor)

Received application from Mr./Mrs./Master/M/s _____						Sr. No. :
Address : _____						Signature of Authorised Staff and Office Seal/Stamp along with Date and Time of Receipt
PIN _____						
Name of the scheme/s	Application for amount in Rs. (A)	DD charges (if any) in Rs. (B)	Net Amount or DD/ Pay Order drawn for Rs. (A-B)	Cheque/ DD/ Pay Order no.	Cheque/ DD/ Pay Order Dated	DD/ Cheque/ Pay Order drawn on-bank and branch (name)

Subject to realisation of cheque / Demand Draft and verification of mandatory information / document.