



**4. FIRST HOLDERS BANK ACCOUNT DETAILS (Mandatory) Refer Instruction H**

All communication/payments will be made to first applicant or to Karta in case of HUF. Bank account details of First Unitholder required without which the application would be rejected

Name of the Bank											
Branch											
Account No. (in Fig.)											
Account No. (in words)											
Bank Address											
City						State					
PIN						MICR Code					
* IFSC Code for NEFT											
Ac. No.		9	7	4	6	1	5	2			
In words		Nine	Seven	Four	Six	One	Five	Two			

Example for filling the Account No. (To be filled in only if dividend is to be paid through ECS). \* This is a 11 Digit Number, kindly obtain it from your Bank Branch. (Please attach copy of cancelled cheque)

**5. DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND / REFUND PAYOUTS**

Tata Mutual Fund directly credits the Redemption/Dividend/Refund payout into the investor's Bank Account in case the account is with **ICICI Bank Ltd./HDFC Bank Ltd./Axis Bank/IDBI Bank/Standard Chartered Bank/Kotak Mahindra Bank/HSBC Bank/Deutsche Bank/ABN Amro Bank/Oriental Bank of Commerce/ Centurion Bank of Punjab/State Bank of India (Core banking centers only - subject to validation)**. I/We understand that the instruction to the bank for Direct Credit/NEFT/ECS will be given by the Mutual Fund & such instruction will be adequate discharge of Mutual Fund towards redemption/dividend/refund proceeds. In case of bank not crediting my/our bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund responsible. I/We understand that in case account number furnished by me/us, if found incorrect, I/We would not hold Tata Mutual Fund responsible for the credit going to the wrong account. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/NEFT/ECS.

**If however you wish to receive payouts by cheque, please tick here**

**6. SCHEME DETAILS Refer Page 6 & 7 for correct scheme name**

Scheme Name \_\_\_\_\_ Plan \_\_\_\_\_

Options \_\_\_\_\_ Dividend Frequency \_\_\_\_\_

Scheme Name : **TATA EQUITY P/E FUND**

Options:  Growth  Dividend Trigger Option A (5%)  Dividend Trigger Option B (10%)  
**Default Option:** Dividend Trigger Option B (10%)

**Dividend Suboption - Only for Dividend Trigger Option A and Dividend Trigger Option B**  
 Dividend Payout  Dividend Re-investment  Dividend Sweep to Tata Floater Fund – Growth Option  
**Default Option:** Dividend Sweep to Tata Floater Fund – Growth Option

Scheme Name : **TATA INFRASTRUCTURE FUND GROWTH OPTION** **Would you like to avail of the Trigger Facility?**  Yes  No

**Trigger choice:** (Tick (✓) NAV Appreciation):  5%  10% (Default: 10%) **Trigger option:**  Switch to Tata Floater Fund - Growth Option  Redeem  
**Default Trigger at 10%.** (Default: Switch to Tata Floater Fund)

**[A] INVESTMENT DETAILS (Strike off whichever is not applicable)**

Gross Amount (A) \_\_\_\_\_ DD Charges (if any) (B) \_\_\_\_\_ Net Amount (Cheque / DD Amount) \_\_\_\_\_

Rs. \_\_\_\_\_ Rs. \_\_\_\_\_ Rs. \_\_\_\_\_

Mode of Payment \_\_\_\_\_ Dated \_\_\_\_\_

A/c No. \_\_\_\_\_ A/c Type \_\_\_\_\_ Cheque / DD No. \_\_\_\_\_

Drawn on Bank \_\_\_\_\_ Branch \_\_\_\_\_ Branch City \_\_\_\_\_

**[B] SYSTEMATIC INVESTMENT**

Installment Amount (Rs.) \_\_\_\_\_ No. of Installments \_\_\_\_\_ Total Amount \_\_\_\_\_ SIP Period \_\_\_\_\_

Rs. \_\_\_\_\_ x \_\_\_\_\_ = Rs. \_\_\_\_\_ From \_\_\_\_\_ To \_\_\_\_\_

**First SIP Installment Cheque Details :**  
 Cheque No. \_\_\_\_\_ Rs. \_\_\_\_\_ Dated \_\_\_\_\_

SIP Date (Please ✓ )  1st OR  07th OR  10th OR  20th OR  25th **SIP Frequency (Please ✓ )**  Monthly OR  Quarterly

Drawn on Bank \_\_\_\_\_ Branch \_\_\_\_\_ City \_\_\_\_\_

**SIP THROUGH AUTO-DEBIT (ECS)**  
 Please fill up enclosed SIP Auto Debit (ECS) Facility Form and submit it together with this Application Form.

**SIP THROUGH POST-DATED CHEQUES**  
 Please fill attached SIP form for Post Dated Cheques (PDCs)

**7. DECLARATION AND SIGNATURES. Refer Instruction - C**

**The Trustee, Tata Mutual Fund**

a) Having read & understood the contents of the Offer Document of the Scheme, I/ We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme. I/ We hereby declare that the amount invested in the scheme is through legitimate sources only & does not involve & is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Govt of India from time to time. I/ We have understood the details of the scheme & I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption & undertaking such other action with such funds that may be required by the Law.

b) **For NRIs:** I/ We confirm that I am / we are Non Residents of Indian Nationality / Origin & that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary.

c) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. d) I/ We confirm that details provided by me / us are true and correct. e) I/We have read & understood the SEBI Circular No. MRD/DoP/Cir-05/2007 dt. April 27, 2007 & SEBI Circular No. 35/MEM-COR/18/07-08 dt. June 26, 2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card. f) **For Micro SIP:** I/We hereby declare that I/We do not have any existing Micro SIP's which together with the current application will result in aggregate investments exceeding Rs.50,000/- in a year.

Date: \_\_\_\_\_

1st Unitholder Signature / Thumb Impression \_\_\_\_\_

2nd Unitholder Signature / Thumb Impression \_\_\_\_\_

3rd Unitholder Signature / Thumb Impression \_\_\_\_\_

**CHECKLIST**

**Tata Mutual Fund: Toll Free No. 1800-209-0101 (Open on all days). Email: kiran@tataamc.com, Website: www.tatamutualfund.com.**

**Checklist:** Documents as listed below are submitted along with this application .

Document List	Document List	Document List
1. PAN <input type="checkbox"/>	5. Memorandum & Articles of Association <input type="checkbox"/>	9. Overseas Auditor's Certificate <input type="checkbox"/>
2. KYC <input type="checkbox"/>	6. Trust Deed <input type="checkbox"/>	10. Notarised Power of Attorney <input type="checkbox"/>
3. Resolution / Authorisation to invest <input type="checkbox"/>	7. Bye-Laws <input type="checkbox"/>	11. Foreign Invest Remittance Certificate (FIRC) <input type="checkbox"/>
4. Authorised Signatories List with Specimen Signature <input type="checkbox"/>	8. Partnership Deed <input type="checkbox"/>	12. MICROSIP document <input type="checkbox"/>
		13. Others _____ <input type="checkbox"/>

All documents in 3 to 8 above should be originals / true copies certified by the Director's / Trustee / Company Secretary / Authorised Signatory / Notary Public.